



# The Effectiveness of the Heated Humidified High Flow Nasal Cannula as a Noninvasive Respiratory Support for Preterm Infants for Prevention of Extubation Failure

Sarah Mohamed Nofal<sup>1\*</sup>, May Rabie El-Sheikh<sup>1</sup>, Heba Saed El-Mahdy<sup>1</sup> and Mostafa Mohamed Awmy<sup>1</sup>

<sup>1</sup>Department of Pediatrics, Faculty of Medicine, Tanta University, Egypt.

## Authors' contributions

This work was carried out in collaboration among all authors. Author SMN designed the study, performed the statistical analysis, wrote the protocol and wrote the first draft of the manuscript. Authors MRES and HSEM managed the analyses of the study. Author MMA managed the literature searches. All authors read and approved the final manuscript.

## Article Information

DOI: 10.9734/JAMMR/2020/v32i2130695

### Editor(s):

(1) Dr. Chan-Min Liu, Xuzhou Normal University, China.

### Reviewers:

(1) Basil Abdulzahra Abbas, University of Basrah, Iraq.

(2) Tatineni Prabhavathi, Indian Council of Medical Research (ICMR), India.

(3) Amarjeet Bisla, Guru Angad Dev Veterinary and Animal Sciences University, India.

Complete Peer review History: <http://www.sdiarticle4.com/review-history/62453>

Original Research Article

Received 25 August 2020  
Accepted 31 October 2020  
Published 17 November 2020

## ABSTRACT

**Aims:** To compare the efficacy and safety of the HHHFNC as a post extubation respiratory support of preterm infants who were initially required endotracheal intubation and conventional mechanical ventilator after birth at different flow rates (3 L/min and 6 L/min).

**Study Design:** A Randomized controlled trial.

**Place and Duration of Study:** Neonatal Intensive Care Unit, Pediatrics department, Tanta University Hospitals, over one-year period, from December 2018 to December 2019.

**Methodology:** 30 preterm, with gestational age (30-36) weeks and birth weight  $\geq$  1300 g, were randomized to receive HHHFNC at either flow rate 3 or 6 L/min to prevent postextubation failure. Primary outcomes: the incidence of treatment failure of the HHHFNC at flow 3 and 6 L/min, which will require n CPAP or NIMV, or will require reintubation after successful extubation within 72 h.

Secondary outcomes: rate of deaths within 72 hours post extubation, the total duration of all types of oxygen support, total duration of hospitalization and incidence of neonatal morbidities such as nasal trauma, BPD, symptomatic PDA, IVH  $\geq$  grade II, pneumothorax, pulmonary hemorrhage, ROP, apnea, sepsis and NEC  $\geq$  stage II.

**Results:** The incidence of need for higher flow rate of HHHFNC (n =17, 56.6%) , the need for n CPAP or NIMV after failure of higher flow rate of HHHFNC (n =16, 53.3%), the need for intubation & MV (n =7, 23.3%), the incidence of nasal trauma (n =9, 30%), BPD (n =9, 30%) , IVH  $\geq$  II (n =7, 23.3%) , NEC  $\geq$  II (n =0), pneumothorax ( n =5, 16.6%) , pulmonary haemorrhage (n =3, 10%), death (n =3, 10%), median duration of hospitalization in days =22.5 (17-28), median duration of all oxygen support in days = 18 (15-21), so the failure rate was 17 out of 30 (56.6%).

**Conclusion:** HHHFNC use is noninferior to other forms of noninvasive respiratory support in preterm infants for prevention of extubation failure. There were better outcomes of HHHFNC with higher gestational age and birth weight in preterm infants at either flow rates 3 or 6 L/min.

**Keywords:** Heated humidified high flow nasal cannula (HHHFNC); noninvasive; postextubation; preterm; respiratory distress.

## ABBREVIATION

*BPD* : Bronchopulmonary Dysplasia  
*PDA* : Patent Ductus Arteriosus  
*IVH* : Intraventricular Hemorrhage  
*ROP* : Retinopathy Of Prematurity  
*NEC* : Necrotizing Enterocolitis  
*n CPAP* : Nasal Continuous Positive Airway Pressure  
*NIMV* : Noninvasive Mechanical Ventilation

## 1. INTRODUCTION

Preterm babies are born alive before 37 weeks of pregnancy are completed [1]. 15 million babies are born too soon every year [2]:

More than 1 in 10 babies are born preterm, affecting families all around the world [2].

Over 1 million children die each year due to complications of preterm birth. Many survivors face a lifetime of disability, including learning disabilities and visual and hearing problems [2].

Premature births are responsible for 70% of neonatal mortality, 36% of infant mortality and 25-50% of long-term neurological disabilities. In the last 20 years with the scientific and technological developments observed in the field of neonatology, the survival rate of premature infants has significantly increased [3].

In the high-income countries, almost 95% of those born at 28 to 32 weeks survive, with more than 90% surviving without impairment. In contrast, in many low-income countries, only 30% of those born at 28 to 32 weeks survive,

with almost all those born at <28 weeks dying in the first few days of life [4].

Preterm neonates can develop many complications such as respiratory distress syndrome (RDS), IVH, NEC, BPD, neonatal sepsis, PDA, ROP and hyperbilirubinemia [5].

RDS is considered the major reason for increased mortality and morbidity among infants. It occurs in infants whose lungs have not yet fully developed. The more premature the baby is, the higher the chance of RDS after birth [6].

In the past, endotracheal intubation was the main respiratory support in preterm infants, but prolonged intubation can increase risks of infection, lung injury, and chronic lung disease. Therefore, the strategy has been shifted to initiate non-invasive respiratory support as soon as possible to minimize duration of mechanical ventilator [7].

Respiratory support is being achieved more frequently with n CPAP and other less invasive approaches, such as the technique of intubation, surfactant, and extubation (INSURE) [8].

The HHHFNC is not only used as a primary respiratory support but also used after extubation to prevent alveolar collapse. However, it also has some drawbacks such as nasal trauma, head deformity, gaseous bowel distension and the difficulty to maintain the device on infant's face at all time to obtain the constant pressure [9].

The recent randomized controlled studies illustrated the safety and efficacy of HHHFNC for prevention of extubation failure [10,11]. Even

though these trials used different extubation protocols, all concluded that it is as effective as or non-inferior to n CPAP. Nonetheless, the latest systematic review states that the safety and efficacy of HHHFNC still needs further study, especially in extremely preterm subgroup [12].

It has been used as a respiratory support for various purposes including apnea of prematurity [13], primary respiratory support in RDS [14], CPAP weaning [15] and postextubation [16].

Also, it is increasingly being applied in other clinical areas including during neonatal transport and for initial delivery room stabilization of premature infants [17,18,19].

## 2. MATERIALS AND METHODS

**Study place:** Neonatal Intensive Care Unit (NICU), Pediatrics Department, Tanta University Hospitals.

**Study duration:** one year (from December 2018 to December 2019).

**Study type:** Randomized controlled trial, written informed permissions obtained from all parents or guardians of the neonates.

**Data collection:** this study included total forty-five preterm neonates after being successfully extubated.

In this study, we enrolled total 45 preterm neonates after being successfully extubated (15 preterm infants were excluded due to major congenital malformations, or congenital heart disease).

This study was a randomized controlled trial. Simple randomization was performed by using computed generated random numbers. It was double blinded with fixed and standard protocols for initiation, weaning, extubation and identification of treatment failure.

- 30 included preterm neonates, with gestational age  $\geq 30$  weeks and less than 37 weeks, who required intubation on admission and extubated from the conventional mechanical ventilator and randomized to receive the HHHFNC (Fisher & Paykel Optiflow System, Healthcare, Auckland, New Zealand) [20].

- The main group was subclassified into subgroup A started at flow rate 3 L/min. and subgroup B started at flow rate 6 L/min.

### 2.1 Criteria of Extubation

- Preterm neonates were considered to be ready for extubation when the settings of ventilator were at peak inspiratory pressure (PIP) of  $\leq 15$  cm H<sub>2</sub>O, positive end-expiratory pressure (PEEP) of  $\leq 6$  cm H<sub>2</sub>O, fraction of inspired oxygen (FiO<sub>2</sub>) of  $\leq 0.3$  and intermittent mandatory rate of  $\leq 20$ /min, and have an acceptable blood gas (pH  $\geq 7.25$ , PaCO<sub>2</sub>  $\leq 55$ ) and hematocrit of  $> 30\%$  [7].

### 2.2 Inclusion Criteria

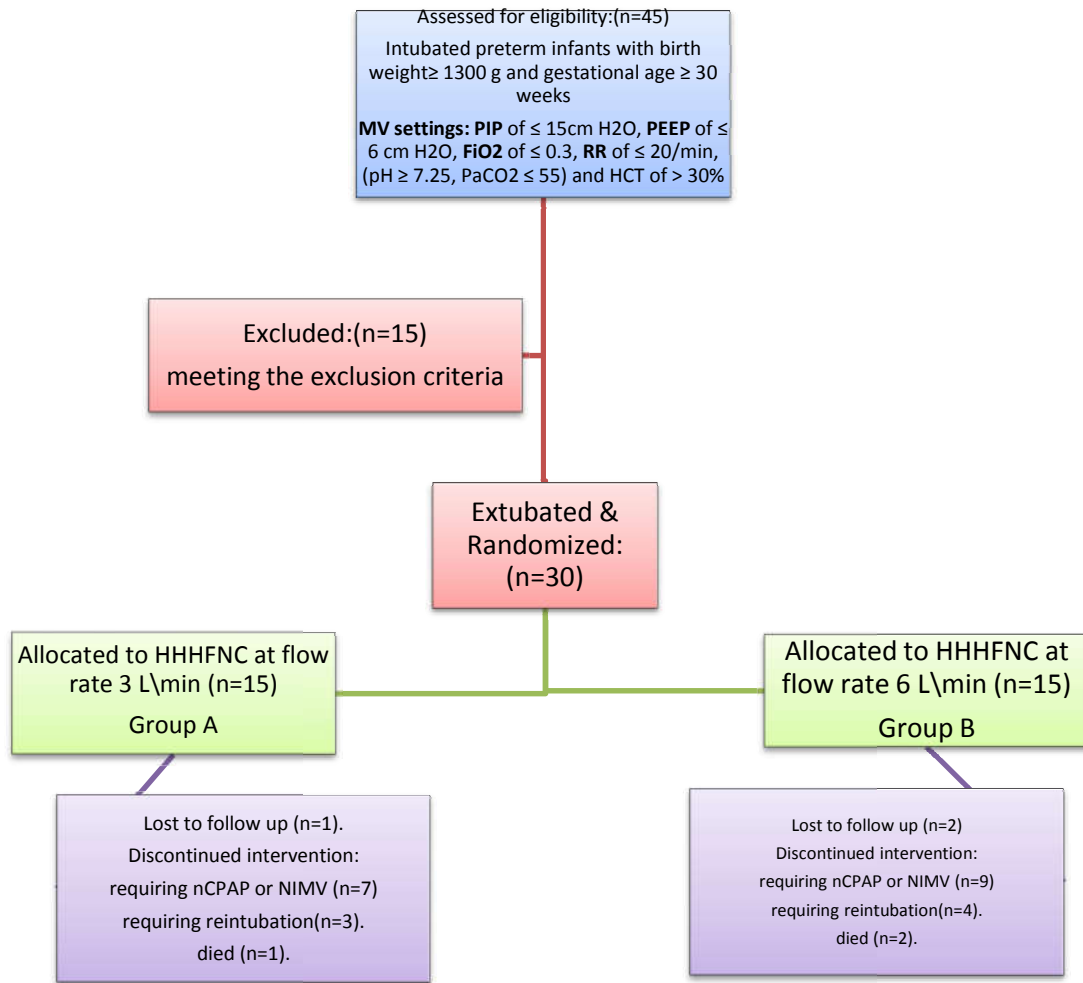
- Preterm neonates, born of gestational age  $\geq 30$  weeks and of birth weight  $\geq 1300$  g, suffering from signs and symptoms of respiratory distress after delivery.

### 2.3 Exclusion Criteria

- Preterm neonates of gestational age  $< 30$  weeks and of birth weight  $< 1300$  g.
- Preterm neonates with major congenital heart diseases, upper airway anomalies, lung hypoplasia and neuromuscular disorders.
- Full term neonates.

### 2.4 Usage of the HHHFNC

- All infants,  $\leq 34$  weeks were received IV caffeine citrate either a loading dose of 20 mg/kg/dose or a maintenance dose of 5 mg/kg/day in the first 24 hours.
- Infants were treated with HHHFNC (Fisher & Paykel Optiflow System, Healthcare, Auckland, New Zealand) [20], with the orifice diameter of the nasal cannula (2.4 to 2.7 mm) and were fitted to maintain a leak at the nose as recommended by the user manual with the aim of occluding approximately half of the nares.
- Flow rates of HHHFNC were modified from 3 into 6 L/min or from 6 into 8 L/min. when needed.
- Oxygen saturation targets were maintained at 90-95% on HHHFNC, all infants stayed on this assigned mode of respiratory support until they were able to be managed without any respiratory support.



**Fig. 1. Participants consort flow diagram**

MV: mechanical ventilator, PIP: peak inspiratory pressure, FIO2: fraction of inspired oxygen, PEEP: positive end-expiratory pressure, RR: respiratory rate, HCT: hematocrit

## 2.5 Weaning from HHHFNC

Treatment with HHHFNC was stopped, as ordered by the treating team, when the infants showed no signs of respiratory distress with room air, weaned to flow rate 2 L/min. and SpO<sub>2</sub> > 90%, PCO<sub>2</sub> < 50 mmHg with FiO<sub>2</sub> of 0.21 [21].

### 2.5.1 HHHFNC treatment failure was indicated by one or more of the following

- I. Respiratory acidosis (PaCO<sub>2</sub> > 60 mmHg with pH < 7.25 at maximum setting of the allocated device [flow rate 7-8 L/min]), hypoxia (FiO<sub>2</sub> > 0.4 to maintain SpO<sub>2</sub> 88 to 94%) [21].
- II. Significant apnea (> 2–3 episodes of apnea/hour requiring bag and-mask ventilation in 24 hour period or 6 or more apneic episodes requiring tactile stimulation within 6 hours) despite adequate prong fixation and flow [21].
- III. Persistent marked/severe retractions.
- IV. Urgent need for ETT & MV as in cardiovascular collapse or shock as determined by the treating team.
  - When a neonate met one or more of the above criteria, increasing flow rate from 3 into 6 L/min. or from 6 into 8 L/min. was done, then application of other type of non-invasive respiratory support device (from HHHFNC to n CPAP and from n CPAP to

NIMV) was considered within 6 hours approximately or required MV if indicated.

sepsis, and necrotizing enterocolitis (NEC  $\geq$  stage II).

## 2.5.2 The HHHFNC outcomes

### 2.5.2.1 Primary outcomes

The incidence of treatment failure of the HHHFNC at flow 3 L/min and 6 L/min, which will require n CPAP or NIMV, or will require reintubation after successful extubation within 72 hours.

### 2.5.2.2 Secondary outcomes

1. Rate of deaths within 72 hours post extubation.
2. The total duration of all types of oxygen support.
3. The total duration of hospitalization.
4. Incidence of neonatal morbidities such as nasal trauma, BPD, symptomatic patent ductus arteriosus (PDA), intraventricular hemorrhage (IVH  $\geq$  grade II), pneumothorax, pulmonary hemorrhage, retinopathy of prematurity (ROP), apnea,

## 2.5.3 All preterm neonates were subjected to the followings

A- Complete history taking which included:

- Peri-natal history.
- Natal history of labor and delivery.
- Resuscitation history.

B- Full clinical examination.

C- Routine laboratory investigations.

D- Chest X-ray.

E- Transcranial US.

## 2.6 Statistics

Data were analyzed using SPSS 22. Chi-square and t test were used for quantitative and qualitative variables,  $P < 0.05$  was considered as significant level.

## 3. RESULTS

Our study showed the following results as in the following tables (1-8).

**Table 1. Demographic data of subgroup A & B (n=30) showing**

|                                      | Group A (n = 15)   |      | Group B (n = 15)    |      | Test of Sig.     | p           |
|--------------------------------------|--------------------|------|---------------------|------|------------------|-------------|
|                                      | No.                | %    | No.                 | %    |                  |             |
| <b>Sex</b>                           |                    |      |                     |      |                  |             |
| Male                                 | 11                 | 73.3 | 9                   | 60.0 | $\chi^2 = 0.600$ | 0.700       |
| Female                               | 4                  | 26.7 | 6                   | 40.0 |                  |             |
| <b>Gestational age (weeks)</b>       |                    |      |                     |      |                  |             |
| Min. – Max.                          | 30.0 – 35.0        |      | 30.0 – 35.0         |      | t= 0.692         | 0.495       |
| Mean $\pm$ SD.                       | 32.07 $\pm$ 1.58   |      | 31.67 $\pm$ 1.59    |      |                  |             |
| Median (IQR)                         | 32.0 (31.0 – 33.0) |      | 32.0 (30.0 – 32.50) |      |                  |             |
| <b>Mode of delivery</b>              |                    |      |                     |      |                  |             |
| NVD                                  | 4                  | 26.7 | 3                   | 20.0 | $\chi^2 = 0.186$ | FE p= 1.000 |
| C.S                                  | 11                 | 73.3 | 12                  | 80.0 |                  |             |
| <b>Age of start of HHHFNC (days)</b> |                    |      |                     |      |                  |             |
| Min. – Max.                          | 3.0 – 12.0         |      | 4.0 – 15.0          |      | U= 42.0*         | 0.003*      |
| Mean $\pm$ SD.                       | 6.20 $\pm$ 2.27    |      | 9.73 $\pm$ 3.75     |      |                  |             |
| Median (IQR)                         | 6.0 (5.0 – 7.0)    |      | 8.0 (7.0 – 13.0)    |      |                  |             |

- There was no significant difference between the two studied subgroups A & B as regard sex, gestational age & mode of delivery.
- There was a statistically significant increase as regard age of start of HHHFNC in group B as compared to group A.

$\chi^2$ : Chi square test; FE: Fisher Exact; U: Mann Whitney test; t: Student t-test; p: p value for comparing between the studied groups.; \*: Statistically significant at  $p \leq 0.05$ .; Group A: extubated to HHHFNC at flow rate 3 L/min.; Group B: extubated to HHHFNC at flow rate 6 L/min.; NVD: normal vaginal delivery.; C.S: cesarean section.

**Table 2. Anthropometric measurements of subgroup A & B (n=30) showing**

|                       | Group A (n = 15)    | Group B (n = 15)    | t     | P     |
|-----------------------|---------------------|---------------------|-------|-------|
| <b>Weight (kgs)</b>   |                     |                     |       |       |
| Min. – Max.           | 1.40 – 2.40         | 1.30 – 2.40         | 0.803 | 0.429 |
| Mean ± SD.            | 1.77 ± 0.23         | 1.69 ± 0.35         |       |       |
| Median (IQR)          | 1.80 (1.65 – 1.85)  | 1.70 (1.40 – 1.80)  |       |       |
| <b>Length (cms)</b>   |                     |                     |       |       |
| Min. – Max.           | 40.0 – 44.0         | 40.0 – 45.0         | 0.425 | 0.674 |
| Mean ± SD.            | 41.60 ± 1.58        | 41.37 ± 1.42        |       |       |
| Median (IQR)          | 41.0 (40.25 – 43.0) | 41.0 (40.25 – 42.0) |       |       |
| <b>H.C. (cms)</b>     |                     |                     |       |       |
| Min. – Max.           | 29.0 – 33.0         | 29.0 – 33.0         | 0.268 | 0.790 |
| Mean ± SD.            | 30.77 ± 1.0         | 30.87 ± 1.04        |       |       |
| Median (IQR)          | 30.50 (30.0 – 31.0) | 31.0 (30.0 – 31.50) |       |       |
| <b>Ponderal index</b> |                     |                     |       |       |
| Min. – Max.           | 2.0 – 3.0           | 1.60 – 3.20         | 0.853 | 0.401 |
| Mean ± SD.            | 2.43 ± 0.28         | 2.33 ± 0.39         |       |       |
| Median (IQR)          | 2.40 (2.25 – 2.60)  | 2.40 (2.10 – 2.55)  |       |       |

- There was no significant difference between the two studied subgroups A & B as regard weight, length, head circumference and ponderal index.  
 $\chi^2$ : Chi square test      FE: Fisher Exact  
 U: Mann Whitney test      t: Student t-test  
 p: p value for comparing between the studied groups.  
 \*: Statistically significant at  $p \leq 0.05$ .  
 Group A: extubated to HHHFNC at flow rate 3 L/min.  
 Group B: extubated to HHHFNC at flow rate 6 L/min.  
 H.C: Head circumference, Ponderal Index =  $100 \times \text{Weight (grams)} / \text{Height}^3 \text{ (cm)}$ .

**Table 3. Antenatal risk factors in subgroup A & B (n=30) showing**

| Antenatal risk factors              | Group A (n = 15) |      | Group B (n = 15) |      | X <sup>2</sup> | P                     |
|-------------------------------------|------------------|------|------------------|------|----------------|-----------------------|
|                                     | No.              | %    | No.              | %    |                |                       |
| PROM                                | 8                | 53.3 | 11               | 73.3 | 1.292          | 0.256                 |
| Pre-eclampsia, eclampsia            | 5                | 33.3 | 1                | 6.7  | 3.333          | <sup>FE</sup> P=0.169 |
| Multiple pregnancies                | 3                | 20.0 | 6                | 40.0 | 1.429          | <sup>FE</sup> P=0.427 |
| Abruptio placenta, placenta accrete | 0                | 0.0  | 1                | 6.7  | 1.034          | <sup>t</sup> P=1.000  |
| Negative                            | 0                | 0.0  | 0                | 0.0  | –              | –                     |
| Others: UTI, chorioamnionitis & IDM | 2                | 13.3 | 3                | 20.0 | 0.240          | <sup>FE</sup> P=1.000 |

- There was no significant difference between the 2 subgroups A & B as regard all antenatal risk factors including: PROM, Pre-eclampsia, eclampsia, Multiple pregnancies, Abruptio placenta, placenta accrete, Negative and Others: UTI, chorioamnionitis & IDM.  
 $\chi^2$ : Chi square test      FE: Fisher Exact  
 U: Mann Whitney test      t: Student t-test  
 p: p value for comparing between the studied groups.  
 \*: Statistically significant at  $p \leq 0.05$ .  
 Group A: extubated to HHHFNC at flow rate 3 L/min.  
 Group B: extubated to HHHFNC at flow rate 6 L/min.  
 PROM: Premature rupture of membranes.  
 UTI: Urinary tract infections.  
 IDM: Infant of diabetic mother.

**4. DISCUSSION**

In this present study, in the main studied group (HHHFNC used as a post extubation method), as

regard the demographic data, anthropometric measurements, antenatal risk factors and causes of extubation failure, there was no significant difference between both low and high

flow rates subgroups A & B. We included 30 preterm infants with mean GA 32 weeks (30-32.5) & mean BW 1700 g (1500 – 1800).

Resulted in the followings : the incidence of need for higher flow rate of HHHFNC (n =17, 56.6%) , the need for n CPAP or NIMV after failure of higher flow rate of HHHFNC (n =16, 53.3%), the need for intubation & MV (n =7, 23.3%), the incidence of nasal trauma (n =9, 30%), BPD (n =9, 30%) , IVH ≥II (n =7, 23.3%) , NEC ≥II (n =0), pneumothorax ( n =5, 16.6%) , pulmonary haemorrhage (n =3, 10%), death (n =3, 10%), median duration of hospitalization in days =22.5 (17-28), median duration of all oxygen support in days = 18 (15-21), so the failure rate was 17 out of 30 (56.6%).

This comes in comparison with Soonsawad, et al. [7], who enrolled 24 preterm infants, were randomly applied to the HFNC. They followed the same extubation criteria, the same reintubation indications and used the same Optiflow system with similar defined outcomes. The HFNC group was subdivided into flow rate 6 L\min. if BW ≥ 1000 g and flow rate 4 L\min. if BW < 1000 g, with smaller mean GA 27.5 (26-30) weeks and lower BW 990 (880-1333) g, showed less extubation failure rate (n=8, 33.3%) (6 on CPAP, 2 on MV), this result may be due to the difference in the rescue protocols and the clinical decisions, higher incidence of BPD (40%), higher incidence of NEC ≥II (8.3%), IVH ≥III (8%), higher incidence of nasal trauma (16.7%), no deaths or pneumothorax.

While Razak, et al. [22], who enrolled 32 preterm infants to study the effect of the HHHFNC as a post extubation respiratory support and compared to another CPAP group. They used the same Optiflow system at flow rates 5-6 L\min, followed the same failure treatment criteria but different weaning criteria from HHHFNC. The included preterm neonates were with mean GA (31±0.8) weeks and mean BW (1360±140) g. they found lower need for reintubation (n=3, 9%), no deaths, lower incidence of BPD (n=4, 12%), nasal trauma (n=0), IVH ≥ III (n =1, 3%), higher incidence of NEC ≥ II (n =2, 6%), air leak (n=0) and shorter duration of hospitalization (23±10 days). They differed from our study due to the retrospective nature of their study, the different followed protocols, flow rates and clinical decisions.

Also in comparison with Taha, et al., [23], who enrolled 333 preterm infants, received HFNC as post extubation, with mean GA (26.5±1.9 weeks) and BW (776± 149 g), showed higher failure rate including higher need for MV (n=177, 53.1%), higher incidence of BPD (n=174, 52.5%), IVH ≥III (n=31, 9.3%), higher incidence of NEC ≥II (n=30, 9%) and longer mean duration of hospitalization =89 days (69-111), this may be explained by the extremely low BW and GA compared to our study. These differences might be due to the different retrospective aspect of their study, longer period (2008-2013) of the study, larger numbers, smaller GA, extremely low BW, different used devices, protocols and clinical decisions.

Mostafa-Gharehbaghi and Mojabi, [24] who enrolled 42 preterm infants, with larger mean BW (1905±464 g) and GA (32.24±1.7 weeks), were treated by the INSURE method and compared to another CPAP group. They were randomly applied to the HFNC via the Optiflow system. They found lower reintubation rate (n=5, 11.9%), less need for CPAP (n=1, 2.38%), higher incidence of Nasal mucosa injury (n=14, 33.33%) and lower incidence of IVH ≥III (n=3, 7.14%). The differences might be due to the different flow rates, rescue and weaning protocols.

Lastly, Akbarian-rad, et al. [25], who enrolled 30 preterm infants, with mean GA (30.45±2 weeks) and BW (1416±493.26 g), were treated by the INSURE method. They were randomly assigned immediately after extubation to HHHFNC. They followed the same extubation criteria. Their results showed lower reintubation rate (n=5, 16.7%), lower incidence of lower incidence of IVH≥III (n=3, 10%), lower incidence of pneumothorax (n=2, 6.66%) and longer duration of hospitalization (34.6±25.2 days), compared to our study. These differences might be due to different used devices, flow rates, defined outcomes and the followed NICUs' protocols.

There was a significant positive relation between gestational age and success rate of HHHFNC as post extubation at flow rate 3 l\min. as showed in subgroup A with higher number of cases who were not needed for n CPAP or NIMV after failure of higher flow of HHHFNC and not needed for re intubation.

**Table 4. Follow up data of the 2 Subgroups A & B (n=30) showing**

|  | Group A (n = 15)   |      | Group B (n = 15)    |      | Test of Sig.     | p           |
|--|--------------------|------|---------------------|------|------------------|-------------|
|  | No.                | %    | No.                 | %    |                  |             |
| <b>Need for higher flow rate of HHHFNC (3 into 6 l/min. &amp; 6 into 8 l/min.)</b> |                    |      |                     |      |                  |             |
| Not needed   | 4                  | 26.7 | 9                   | 60.0 | $\chi^2 = 3.394$ | 0.065       |
| Needed   | 11                 | 73.3 | 6                   | 40.0 |                  |             |
| <b>Need for n CPAP or NIMV after failure of higher flow rate of HHHFNC</b>         |                    |      |                     |      |                  |             |
| Not needed   | 8                  | 53.3 | 6                   | 40.0 | $\chi^2 = 0.536$ | 0.464       |
| Needed   | 7                  | 46.7 | 9                   | 60.0 |                  |             |
| <b>Need for intubation</b>   |                    |      |                     |      |                  |             |
| Not needed   | 12                 | 80.0 | 11                  | 73.3 | $\chi^2 = 0.186$ | FE p= 1.000 |
| Needed   | 3                  | 20.0 | 4                   | 26.7 |                  |             |
| <b>Nasal trauma</b>  |                    |      |                     |      |                  |             |
| None   | 11                 | 73.3 | 10                  | 66.7 | $\chi^2 = 0.159$ | FE p= 1.000 |
| Nasal trauma   | 4                  | 26.7 | 5                   | 33.3 |                  |             |
| <b>Antibiotics duration (days)</b>   |                    |      |                     |      |                  |             |
| Min. – Max.  | 12.0 – 28.0        |      | 15.0 – 35.0         |      | U= 55.0*         | 0.016*      |
| Mean ± SD.   | 19.20 ± 5.20       |      | 25.47 ± 7.42        |      |                  |             |
| Median (IQR)   | 17.0 (15.0 – 23.0) |      | 28.0 (19.50 – 30.0) |      |                  |             |
| <b>Duration of hospitalization (days)</b>  |                    |      |                     |      |                  |             |
| Min. – Max.  | 12.0 – 28.0        |      | 15.0 – 35.0         |      | U= 55.0*         | 0.016*      |
| Mean ± SD.   | 19.20 ± 5.20       |      | 25.47 ± 7.42        |      |                  |             |
| Median (IQR)   | 17.0(15.0 – 23.0)  |      | 28.0(19.50 – 30.0)  |      |                  |             |
| <b>Total duration of all O<sub>2</sub> support (days)</b>                          |                    |      |                     |      |                  |             |
| Min. – Max.  | 10.0 – 28.0        |      | 12.0 – 35.0         |      | t= 2.447*        | 0.022*      |
| Mean ± SD.   | 15.73 ± 4.62       |      | 21.13 ± 7.19        |      |                  |             |
| Median (IQR)   | 15.0 (12.5 – 17.0) |      | 21.0 (15.0 – 26.0)  |      |                  |             |

- There was a statistically significant increase as regard duration of antibiotics, hospitalization & all oxygen support in subgroup B as compared to subgroup A.
- There was no significant difference between the 2 subgroups A & B as regard follow up data and outcomes of HHHFNC including: need for higher flow rate of HHHFNC (flow rate 3 into 6 & 6 into 8 L/min), need for n CPAP or NIMV after failure of the higher flow rate of HHHFNC, need for intubation and incidence of nasal trauma.

$\chi^2$ : Chi square test ; FE: Fisher Exact; U: Mann Whitney test;

t: Student t-test; p: p value for comparing between the studied groups.;

\*: Statistically significant at  $p \leq 0.05$ . Group A: extubated to HHHFNC at flow rate 3 L/min. Group B: extubated to HHHFNC at flow rate 6 L/min.

This comes in comparison to, Collins, et al. [26], who randomly assigned 67 preterm ventilated infants to Vapotherm HHHFNC after extubation. They found lower extubation failure rate in (n=15, 22%). Overall extubation failure rates were greater in infants born at < 28 completed weeks of gestation (n=26, 44%), when compared with 28-32 weeks of gestation (n=11, 15%). Distending pressure measured in the pharynx is

dependent on the flow rate used and weight of the infant.

There was a significant positive relation between birth weight and success rate of HHHFNC as post extubation at flow rate 3 l/min. as showed in subgroup A with higher number of cases who were not needed for n CPAP or NIMV after failure of higher flow of HHHFNC.



**Table 5. Causes of extubation failure after using HHHFNC in the main studied group (n = 30) showing**

| Causes of extubation failure on HHHFNC | Group A (n = 15) |      | Group B (n = 15) |      | $\chi^2$ | p                     |
|--|------------------|------|------------------|------|----------|-----------------------|
|  | No.              | %    | No.              | %    |          |                       |
| Apnea                                  | 3                | 20.0 | 3                | 20.0 | 0.0      | <sup>FE</sup> p=1.000 |
| Collapse                               | 7                | 46.7 | 10               | 66.7 | 1.222    | 0.269                 |
| Increased FiO <sub>2</sub>             | 3                | 20.0 | 5                | 33.3 | 0.682    | <sup>FE</sup> p=0.682 |
| Sepsis                                 | 3                | 20.0 | 8                | 53.3 | 3.589    | 0.058                 |
| Increased work of breathing            | 10               | 66.7 | 10               | 66.7 | 0.0      | 1.000                 |

- There was no significant difference between the 2 subgroups A & B as regard all causes of extubation failure after using HHHFNC including: apnea, collapse, increased FiO<sub>2</sub>, sepsis and increased work of breathing.;  $\chi^2$ : Chi square test; FE: Fisher Exact; U: Mann Whitney test; t: Student t-test; p: p value for comparing between the studied groups. \*: Statistically significant at p ≤ 0.05. Group A: extubated to HHHFNC at flow rate 3 L\min. Group B: extubated to HHHFNC at flow rate 6 L\min

**Table 6. The conventional mechanical ventilator parameters at time of extubation & the outcomes in the main studied group (n=30) showing**

|                                      | Group A (n = 15)   |      | Group B (n = 15)   |      | Test of Sig.     | p                      |
|--------------------------------------|--------------------|------|--------------------|------|------------------|------------------------|
|                                      | No.                | %    | No.                | %    |                  |                        |
| <b>PIP on extubation</b>             |                    |      |                    |      |                  |                        |
| Min. – Max.                          | 10.0 – 15.0        |      | 10.0 – 15.0        |      | t= 1.803         | 0.082                  |
| Mean ± SD.                           | 11.67 ± 1.76       |      | 12.87 ± 1.88       |      |                  |                        |
| Median (IQR)                         | 11.0 (10.0 – 12.0) |      | 12.0 (11.0 – 15.0) |      |                  |                        |
| <b>Rate on extubation</b>            |                    |      |                    |      |                  |                        |
| Min. – Max.                          | 15.0 – 25.0        |      | 15.0 – 25.0        |      | t= 1.521         | 0.139                  |
| Mean ± SD.                           | 17.53 ± 3.66       |      | 19.80 ± 4.46       |      |                  |                        |
| Median (IQR)                         | 15.0 (15.0 – 20.0) |      | 20.0 (15.0 – 25.0) |      |                  |                        |
| <b>PEEP on extubation</b>            |                    |      |                    |      |                  |                        |
| Min. – Max.                          | 4.0 – 5.0          |      | 4.0 – 5.0          |      | t= 0.418         | 0.679                  |
| Mean ± SD.                           | 4.73 ± 0.46        |      | 4.80 ± 0.41        |      |                  |                        |
| Median (IQR)                         | 5.0 (4.50 – 5.0)   |      | 5.0 (5.0 – 5.0)    |      |                  |                        |
| <b>FiO<sub>2</sub> on extubation</b> |                    |      |                    |      |                  |                        |
| Min. – Max.                          | 21.0 – 30.0        |      | 21.0 – 30.0        |      | t= 1.132         | 0.267                  |
| Mean ± SD.                           | 23.27 ± 3.26       |      | 24.80 ± 4.11       |      |                  |                        |
| Median (IQR)                         | 21.0 (21.0 – 25.0) |      | 25.0 (21.0 – 30.0) |      |                  |                        |
| <b>BPD</b>                           |                    |      |                    |      |                  |                        |
| Negative                             | 13                 | 86.7 | 8                  | 53.3 | $\chi^2$ = 3.968 | <sup>FE</sup> p= 0.109 |
| Positive                             | 2                  | 13.3 | 7                  | 46.7 |                  |                        |
| <b>Pneumothorax</b>                  |                    |      |                    |      |                  |                        |
| Negative                             | 13                 | 86.7 | 12                 | 80.0 | $\chi^2$ = 0.240 | <sup>FE</sup> p=1.000  |
| Positive                             | 2                  | 13.3 | 3                  | 20.0 |                  |                        |
| IVH ≥ II                             | 2                  | 13.3 | 5                  | 33.3 | $\chi^2$ = 1.677 | <sup>FE</sup> p=0.390  |
| <b>Pulmonary hemorrhage</b>          |                    |      |                    |      |                  |                        |
| Negative                             | 14                 | 93.3 | 13                 | 86.7 | $\chi^2$ = 0.370 | <sup>FE</sup> p= 1.000 |
| Positive                             | 1                  | 6.7  | 2                  | 13.3 |                  |                        |
| <b>Death rate</b>                    |                    |      |                    |      |                  |                        |
| Survived                             | 14                 | 93.3 | 13                 | 86.7 | $\chi^2$ = 0.370 | <sup>FE</sup> p= 1.000 |
| Died                                 | 1                  | 6.7  | 2                  | 13.3 |                  |                        |

- There was no significant difference between the 2 subgroups A & B as regard the mechanical ventilator parameters at time of extubation and the secondary outcomes of HHHFNC including: incidence of BPD, IVH≥II, pneumothorax, pulmonary hemorrhage and death rate.  $\chi^2$ : Chi square test; FE: Fisher Exact; U: Mann Whitney test; t: Student t-test; p: p value for comparing between the studied groups; \*: Statistically significant at p ≤ 0.05; Group A: extubated to HHHFNC at flow rate 3 L\min; Group B: extubated to HHHFNC at flow rate 6 L\min.

**Table 7. Relation between gestational age and success rate of HHHFNC as regard its primary outcomes in each subgroup (n = 30) showing**

|                  | Success rate   | N           | Gestational age (weeks) |              |        | t      | p      |
|------------------|--|-------------|-------------------------|--------------|--------|--------|--------|
|                  |  |             | Min. – Max.             | Mean ± SD.   | Median |        |        |
| Group A (n = 15) | <b>Need for Higher flow rate of HHHFNC (3 into 6 l/min.)</b>               |             |                         |              |        |        |        |
|                  | Not needed   | 4           | 32.0 – 35.0             | 33.25 ± 1.50 | 33.0   | 1.907  | 0.079  |
|                  | Needed   | 11          | 30.0 – 34.0             | 31.64 ± 1.43 | 31.0   |        |        |
|                  | <b>Need for n CPAP or NIMV after failure of higher flow rate of HHHFNC</b> |             |                         |              |        |        |        |
|                  | Not needed   | 8           | 32.0 – 35.0             | 33.13 ± 1.13 | 33.0   | 3.983* | 0.002* |
|                  | Needed   | 7           | 30.0 – 33.0             | 30.86 ± 1.07 | 31.0   |        |        |
|                  | <b>Need for intubation</b>   |             |                         |              |        |        |        |
|                  | Not needed   | 12          | 30.0 – 35.0             | 32.50 ± 1.45 | 32.50  | 2.488* | 0.027* |
|                  | Needed   | 3           | 30.0 – 31.0             | 30.33 ± 0.58 | 30.0   |        |        |
|                  | <b>Need for Higher flow rate of HHHFNC (6 into 8 l/min.)</b>               |             |                         |              |        |        |        |
| Group B (n = 15) | Not needed   | 9           | 30.0 – 35.0             | 32.11 ± 1.69 | 32.0   | 1.368  | 0.195  |
|                  | Needed   | 6           | 30.0 – 33.0             | 31.0 ± 1.26  | 30.50  |        |        |
|                  | <b>Need for n CPAP or NIMV after failure of higher flow rate of HHHFNC</b> |             |                         |              |        |        |        |
|                  | Not needed   | 6           | 30.0 – 35.0             | 31.83 ± 2.23 | 31.0   | 0.282  | 0.786  |
|                  | Needed   | 9           | 30.0 – 33.0             | 31.56 ± 1.13 | 32.0   |        |        |
|                  | <b>Need for intubation</b>   |             |                         |              |        |        |        |
| Not needed       | 11   | 30.0 – 35.0 | 32.09 ± 1.58            | 32.0         | 1.860  | 0.086  |        |
| Needed           | 4  | 30.0 – 32.0 | 30.50 ± 1.0             | 30.0         |        |        |        |

- There was a significant positive relation between gestational age and success rate of HHHFNC as post extubation at flow rate 3 l/min. as showed in subgroup A with higher number of cases who were not needed for n CPAP or NIMV after failure of higher flow of HHHFNC and not needed for reintubation.
- There was no significant relation between gestational age and the HHHFNC outcomes as need for higher flow rate of HHHFNC or need for intubation in subgroup A.
- There was no significant relation between gestational age and the HHHFNC outcomes as need for higher flow rate of HHHFNC, need for n CPAP or NIMV after failure of the higher flow rate or need for intubation in subgroup B.

$\chi^2$ : Chi square test;  
 FE: Fisher Exact  
 U: Mann Whitney test;  
 t: Student t-test

p: p value for comparing between the studied groups.

\*: Statistically significant at  $p \leq 0.05$ .

Group A: extubated to HHHFNC at flow rate 3 l/min.

Group B: extubated to HHHFNC at flow rate 6 l/min.

There was a significant positive relation between birth weight and success rate of HHHFNC as post extubation at flow rate 6 l/min. as showed in subgroup B with cases who were not needed for higher flow rate of HHHFNC.

This comes in agreement with, Manley, at al. [10], who enrolled 152 very preterm infants to receive treatment with high-flow nasal cannula (5 to 6 l/min) after extubation.

63 of the infants had a gestational age of less than 26 weeks, but the study was not powered to evaluate the efficacy or safety of HFNC in the extremely preterm subgroup. The failure rate was very high among these infants, regardless of the assigned treatment. Given this finding, it should be cautious before using HFNC as first-line respiratory support in extremely preterm infants after extubation.

**Table 8. Relation between birth weight and success rate of HHHFNC as regard its primary outcomes in each subgroup (n = 30) showing**

|                  | Success rate   | N           | Weight (kgs) |             |        | t      | p      |
|------------------|--|-------------|--------------|-------------|--------|--------|--------|
|                  |  |             | Min. – Max.  | Mean ± SD.  | Median |        |        |
| Group A (n = 15) | <b>Need for Higher flow rate of HHHFNC (3 into 6 l/min.)</b>               |             |              |             |        |        |        |
|                  | Not needed   | 4           | 1.70 – 2.00  | 1.85 ± 0.13 | 1.85   | 0.752  | 0.466  |
|                  | Needed   | 11          | 1.40 – 2.40  | 1.75 ± 0.26 | 1.70   |        |        |
|                  | <b>Need for n CPAP or NIMV after failure of higher flow rate of HHHFNC</b> |             |              |             |        |        |        |
|                  | Not needed   | 8           | 1.70 – 2.40  | 1.90 ± 0.23 | 1.85   | 2.690* | 0.019* |
|                  | Needed   | 7           | 1.40 – 1.80  | 1.63 ± 0.15 | 1.60   |        |        |
| Group B (n = 15) | <b>Need for intubation</b>   |             |              |             |        |        |        |
|                  | Not needed   | 12          | 1.60 – 2.40  | 1.83 ± 0.22 | 1.80   | 1.849  | 0.087  |
|                  | Needed   | 3           | 1.40 – 1.80  | 1.57 ± 0.21 | 1.50   |        |        |
|                  | <b>Need for Higher flow rate of HHHFNC (6 into 8 l/min.)</b>               |             |              |             |        |        |        |
|                  | Not needed   | 9           | 1.40 – 2.40  | 1.87 ± 0.33 | 1.80   | 3.161* | 0.008* |
|                  | Needed   | 6           | 1.30 – 1.60  | 1.42 ± 0.12 | 1.40   |        |        |
|                  | <b>Need for n CPAP or NIMV after failure of higher flow rate of HHHFNC</b> |             |              |             |        |        |        |
|                  | Not needed   | 6           | 1.60 – 2.40  | 1.97 ± 0.35 | 1.85   | 2.992* | 0.019* |
|                  | Needed   | 9           | 1.30 – 1.80  | 1.50 ± 0.19 | 1.40   |        |        |
|                  | <b>Need for intubation</b>   |             |              |             |        |        |        |
| Not needed       | 11   | 1.30 – 2.40 | 1.77 ± 0.36  | 1.70        | 1.702  | 0.113  |        |
| Needed           | 4  | 1.30 – 1.60 | 1.45 ± 0.13  | 1.45        |        |        |        |

- There was a significant positive relation between birth weight and success rate of HHHFNC as post extubation at flow rate 3 l/min. as showed in subgroup A with higher number of cases who were not needed for n CPAP or NIMV after failure of higher flow of HHHFNC.
- There was no significant relation between birth weight and the HHHFNC outcomes as need for higher flow rate of HHHFNC or need for intubation in subgroup A.
- There was a significant positive relation between birth weight and success rate of HHHFNC as post extubation at flow rate 6 l/min. as showed in subgroup B with cases who were not needed for higher flow rate of HHHFNC.
- There was significant positive relation between birth weight and not needing for n CPAP or NIMV after failure of higher flow of HHHFNC as showed in subgroup B.
- There was no significant relation between birth weight and the need for intubation as HHHFNC outcome in subgroup B.

$\chi^2$ : Chi square test; FE: Fisher Exact; U: Mann Whitney test; t: Student t-test  
 p: p value for comparing between the studied groups.; \*: Statistically significant at  $p \leq 0.05$ .  
 Group A: extubated to HHHFNC at flow rate 3 L/min.  
 Group B: extubated to HHHFNC at flow rate 6 L/min.

**5. CONCLUSION**

Our study concluded that:

- HHHFNC use is noninferior to other forms of non-invasive respiratory support in preterm infants with respiratory distress for prevention of extubation failure.
- HHHFNC showed lesser complications on either flow rates 3 or 6 l/min. as regard nasal trauma, pneumothorax, pulmonary hemorrhage, IVH $\geq$  II, NEC $\geq$  II, PDA and death.
- There were better outcomes for the use of HHHFNC with higher gestational age and birth weight as post extubation support at either flow rates 3 or 6 L/min.

**CONSENT AND ETHICAL APPROVAL**

The approval from the ethical committee of Tanta University and after written parental consents before the enrollment.

**ACKNOWLEDGEMENTS**

My sincere gratitude to Allah for giving me the will, patience and perseverance to carry out and finish this work.

I would like to express my sincere gratitude to my dear supervisor and my mentor, Prof. Mostafa Mohamed Awany, Professor of Pediatric Department, for his support of my study and research, for his patience, generosity, kindness, motivation, enthusiasm, and immense knowledge.

Very special thanks go out to Prof. Heba Saed EL Mahdy, Professor of Pediatrics, for her encouragement, insightful comments, and hard questions. Without her motivation and guidance, I would not have considered a graduate career in this research.

I would like to express my gratitude to my supervisor, Dr. Mai Rabie El-Sheikh, Lecturer of Pediatrics, whose expertise, understanding, and patience, added considerably to my graduate experience. She provided me with direction, technical support and became more of a friend than a professor.

I would like to thank my family and my friends for their continuous support & encouragement throughout my internship. They are always there for me & without them I would not have made it.

In conclusion, I recognize that this research would not have been possible without the assistance of Pediatrics Department, Faculty of Medicine, Tanta University.

### COMPETING INTERESTS

Authors have declared that no competing interests exist.

### REFERENCES

1. Quinn JA, Munoz FM, Gonik B, et al. Preterm birth: Case definition & guidelines for data collection, analysis, and presentation of immunisation safety data. *Vaccine*. 2016;34(49):6047-56.
2. World Health Organization. The global burden of disease. LONDON, The Lancet; 2010.
3. Kültürsay N, Köroğlu ÖA, Kızılcın S, et al. Assessment of the awareness of prematurity and related problems. *Pediatr Res*. 2017;4(4):227-31.
4. Soon BT. The global action report on preterm birth. Geneva: World Health Organization; 2012.
5. Levene I, Tupedohe I, Thearle J. Respiratory disorders. In: Levene I, Tupedohe I, Thearle J, editors. *Neonatal Medicine*. 3rd ed: London: Blackwell Science Ltd. 2000;9:3-11.
6. Wambach J, Hamvas A. Respiratory distress syndrome in the neonate. In: Martin R, Fanaroff A, Walsh M, editors. *Fanaroff and Martin's Neonatal-Perinatal Medicine*. 10th ed: Philadelphia, PA: Elsevier Saunders; 2015.
7. Soonsawad S, Swatesutipun B, Limrungsikul A, et al. Heated humidified high-flow nasal cannula for prevention of extubation failure in preterm infants. *Indian J Pediatr*. 2017;84(4):262-6.
8. Pfister RH, Soll RF. Initial respiratory support of preterm infants: The role of CPAP, the INSURE method, and noninvasive ventilation. *Clin Perinatol*. 2012;39(3):459-81.
9. Garg S, Sinha S. Non-invasive ventilation in premature infants: Based on evidence or habit. *J Clin Neonatol*. 2013;2(4):155-9.
10. Manley BJ, Owen LS, Doyle LW, et al. High-flow nasal cannulae in very preterm infants after extubation. *N Engl J Med*. 2013;369(15):1425-33.
11. Yoder BA, Stoddard RA, Li M, et al. Heated, humidified high-flow nasal cannula versus nasal CPAP for respiratory support in neonates. *Pediatrics*. 2013;131(5):1482-90.
12. Wilkinson D, Andersen C, O'Donnell CP, et al. High flow nasal cannula for respiratory support in preterm infants. *Cochrane Database Syst Rev*. 2016;2:006405.
13. Sreenan C, Lemke RP, Hudson-Mason A, et al. High-flow nasal cannulae in the management of apnea of prematurity: A comparison with conventional nasal continuous positive airway pressure. *Pediatrics*. 2001;107(5):1081-3.
14. Kugelman A, Riskin A, Said W, et al. A randomized pilot study comparing heated humidified high-flow nasal cannulae with NIPPV for RDS. *Pediatr Pulmonol*. 2015; 50(6):576-83.
15. Abdel-Hady H, Shouman B, Aly H. Early weaning from CPAP to high flow nasal cannula in preterm infants is associated with prolonged oxygen requirement: A randomized controlled trial. *Early Hum Dev*. 2011;87(3):205-8.
16. Sasi A, Malhotra A. High flow nasal cannula for continuous positive airway pressure weaning in preterm neonates: A single-centre experience. *J Paediatr Child Health*. 2015;51(2):199-203.
17. Schlapbach LJ, Schaefer J, Brady AM, et al. High-flow nasal cannula (HFNC) support in interhospital transport of critically ill children. *Intensive Care Med*. 2014;40(4):592-9.
18. Reynolds P, Leontiadi S, Lawson T, et al. Stabilisation of premature infants in the

- delivery room with nasal high flow. Arch Dis Child Fetal Neonatal Ed. 2016; 101(4):284-7.
19. Boyle M, Chaudhary R, Kent S, et al. High-flow nasal cannula on transport: moving with the times. Acta Paediatr. 2014; 103(5):181-4.
  20. Park K, Choi B, Shin J, et al. Humidified high flow nasal cannula in preterm infants. Int J Technol Assess Health Care. 2017; 32(4):650.
  21. Shin J, Park K, Lee EH, et al. Humidified high flow nasal cannula versus nasal continuous positive airway pressure as an initial respiratory support in preterm infants with respiratory distress: A Randomized, Controlled Non-Inferiority Trial. J Korean Med Sci. 2017;32(4):650-5.
  22. Razak A, Charki S, Nagesh NK. High-flow nasal cannula versus cpap for respiratory support in preterm infants. Journal of Neonatology. 2015;29(3):11-15.
  23. Taha DK, Kornhauser M, Greenspan JS, et al. High flow nasal cannula use is associated with increased morbidity and length of hospitalization in extremely low birth weight infants. J Pediatr. 2016;173: 50-5.
  24. Mostafa-Gharehbaghi M, Mojabi H. Comparing the effectiveness of nasal continuous positive airway pressure (NCPAP) and high flow nasal cannula (HFNC) in prevention of post extubation assisted ventilation. Zahedan J Res Med Sci. 2015;17(6):984-9.
  25. Akbarian-rad Z, Mohammadi A, Khafri S, et al. Comparison of heated humidified high flow nasal cannula and nasal continuous positive airway pressure after surfactant administration in preterm neonates with respiratory distress syndrome. Clin Respir J. 2020; 00:1–8.
  26. Collins CL, Holberton JR, König K. Comparison of the pharyngeal pressure provided by two heated, humidified high-flow nasal cannulae devices in premature infants. J Paediatr Child Health. 2013; 49(7):554-6.

© 2020 Nofal et al.; This is an Open Access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/4.0>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

*Peer-review history:*

*The peer review history for this paper can be accessed here:  
<http://www.sdiarticle4.com/review-history/62453>*